

13/05/2014

Type	Ref	Entity Name	Business Unit	Rating	Risk Score	Frequency	Last Activity	Next Activity	2014/15	2015/16	2016/17
Administration	216	Other non productive	0100 - CEX	Low	0	36		01/04/2014	245	245	245
<b>Administration</b>									<b>245</b>	<b>245</b>	<b>245</b>
Departments	001	Corp Servs - Departmental Review	0902 - DoR/Corporate Services	Med	39	24	01/04/2013	01/04/2015	5	5	5
Departments	002	Prop Servs - Departmental Review	0912 - DoR/Property Services	High	47	12	01/04/2013	01/04/2014	5	5	5
Departments	003	Fleet - Departmental Review	0906 - DoR/Fleet	High	56	12	01/04/2013	01/04/2014	5	5	5
Departments	004	ISD - Departmental Review	0607 - CIO/Information Services Department	High	65	12	01/04/2013	01/04/2014	5	5	5
Departments	005	F&P - Departmental Review	0905 - DoR/Finance & Procurement	High	53	12	01/04/2013	01/04/2014	5	5	5
Departments	006	Corp HR - Departmental Review	0903 - DoR/Corporate HR	Med	39	24	01/04/2013	01/04/2015	5	5	5
Departments	007	CID - Departmental Review	0204 - ACC Crime/Force CID	High	65	12	01/04/2013	01/04/2014	5	5	5
Departments	008	CJS - Departmental Review	0401 - ACC Ops/Central Justice Services	High	65	12	01/04/2013	01/04/2014	5	5	5
Departments	009	Prof Stds - Departmental Review	0406 - ACC Ops/Professional Standards	High	45	12	01/04/2013	01/04/2014	5	5	5
Departments	010	OSD - Departmental Review	1102 - TBA/Organisational Service & Development	High	45	12	01/04/2013	01/04/2014	5	5	5
Departments	011	Leg Servs - Departmental Review	0801 - DoLS/Legal Services	Med	39	24	01/04/2013	01/04/2015	0	5	0
Departments	012	PCC - Departmental Review	0101 - CEX/Police & Crime Commissioner	High	52	12	01/04/2013	01/04/2014	5	5	5
Departments	013	Intel - Departmental Review	1101 - TBA/Intelligence	High	61	12	01/04/2013	01/04/2014	5	5	5
Departments	014	Ops - Departmental Review	0405 - ACC Ops/Operations	High	71	12	01/04/2013	01/04/2014	5	5	5
Departments	015	Comm Team - Departmental Review	0701 - DCC/Command Team	High	61	12	01/04/2013	01/04/2014	5	5	5
Departments	016	CMPG - Departmental Review	0402 - ACC Ops/CMPG	High	58	12	01/04/2013	01/04/2014	5	5	5
Departments	017	Aud Prog Devt - Departmental Review	1001 - HoIA/Audit Programme Development	Low	21	36	01/04/2013	01/04/2016	1	1	1
Departments	018	CTU - Departmental Review	0502 - ACC Protection/CTU	High	70	12	01/04/2013	01/04/2014	5	5	5
Departments	019	PPU - Departmental Review	0208 - ACC Crime/Public Protection Unit	High	67	12	01/04/2013	01/04/2014	5	5	5
Departments	020	Learn & Devt - Departmental Review	0909 - DoR/Learning & Development	High	45	12	01/04/2013	01/04/2014	5	5	5
Departments	021	Corp Comm - Departmental Review	0601 - CIO/Corporate Communications	High	47	12	01/04/2013	01/04/2014	5	5	5
Departments	022	Force Contact - Departmental Review	0305 - ACC Local Policing/ForceContact	High	71	12	01/04/2013	01/04/2014	5	5	5
Departments	023	Forensics - Departmental Review	0503 - ACC Protection/Forensics	High	62	12	01/04/2013	01/04/2014	5	5	5
<b>Departments</b>									<b>106</b>	<b>111</b>	<b>106</b>
Follow ups	217	Follow ups	0100 - CEX	Low	0	36		01/04/2014	100	100	100
<b>Follow ups</b>									<b>100</b>	<b>100</b>	<b>100</b>
Functional	051	Clothing Stores	0902 - DoR/Corporate Services	High	64	12	01/04/2013	01/04/2014	0	0	5
Functional	053	Detained Property	0902 - DoR/Corporate Services	High	48	12	01/04/2013	01/04/2014	0	0	5
Functional	054	Central Secure Stores	0902 - DoR/Corporate Services	High	42	12	01/04/2013	01/04/2014	0	0	5
Functional	055	Print & Reprographic	0902 - DoR/Corporate Services	High	58	12	01/04/2013	01/04/2014	0	0	5
Functional	056	Security Contract	0902 - DoR/Corporate Services	High	51	12	01/04/2013	01/04/2014	5	0	5
Functional	057	Certificate Income (OVRO)	0500 - ACC Protection	Med	41	24	01/04/2013	01/04/2015	0	5	0
Functional	060	Messenger & Mail Services	0902 - DoR/Corporate Services	High	71	12	01/04/2013	01/04/2014	5	5	5
Functional	061	Cleaning Services	0902 - DoR/Corporate Services	Med	30	24	01/04/2013	01/04/2015	0	5	0
Functional	062	Compliance & Disclosure Unit	0600 - CIO	High	51	12	01/04/2013	01/04/2014	5	5	5
Functional	063	HMIC Liaison	0700 - DCC	High	47	12	01/04/2013	01/04/2014	5	5	5
Functional	064	Inspection & Review Framework	0700 - DCC	Med	36	24	01/04/2013	01/04/2015	0	10	0
Functional	065	Project Implementation Review - PMO	0700 - DCC	High	44	12	01/04/2013	01/04/2014	10	10	10
Functional	066	Civil Claims & Ex-Gratia Payments	0801 - DoLS/Legal Services	High	60	12	01/04/2013	01/04/2014	0	0	5
Functional	067	Community Init Funds	0102 - CEX/Commissioner	High	47	12	01/04/2013	01/04/2014	5	5	5
Functional	068	Stand Orders-Fin Regs	0102 - CEX/Commissioner	High	50	12	01/04/2013	01/04/2014	5	5	5
Functional	069	Risk Management	0102 - CEX/Commissioner	Med	25	24	01/04/2013	01/04/2015	0	20	0
Functional	070	Partnering Arrangements	0102 - CEX/Commissioner	Med	41	24	01/04/2013	01/04/2015	0	10	0
Functional	071	Corporate Governance	0102 - CEX/Commissioner	Med	34	24	01/04/2013	01/04/2015	10	10	10
Functional	072	Collaboration - Regional Services	0102 - CEX/Commissioner	Med	24	24	01/04/2013	01/04/2015	0	10	0
Functional	074	Audit Cttee Reports - Workplans	0102 - CEX/Commissioner	Med	25	24	01/04/2013	01/04/2015	30	30	30
Functional	075	Audit Planning	0102 - CEX/Commissioner	Med	35	24	01/04/2013	01/04/2015	30	30	30

13/05/2014

Type	Ref	Entity Name	Business Unit	Rating	Risk Score	Frequency	Last Activity	Next Activity	2014/15	2015/16	2016/17
Functional	078	Anti-Fraud and Corruption	0102 - CEX/Commissioner	Med	31	24	01/04/2013	01/04/2015	5	5	5
Functional	080	PCC Budget Activities	0102 - CEX/Commissioner	Med	30	24	01/04/2013	01/04/2015	5	0	0
Functional	081	Finance & Admin Activities	0102 - CEX/Commissioner	High	53	12	01/04/2013	01/04/2014	5	0	0
Functional	082	RDA 1886	0103 - CEX/WMOPC	High	64	12	01/04/2013	01/04/2014	5	0	0
Functional	083	Community Services-Sponsorship - Building Blocks	0202 - ACC Crime/Community Services	High	62	12	01/04/2013	01/04/2014	5	5	5
Functional	084	Dedicated Source Unit	0206 - ACC Crime/Intelligence	Med	37	24	01/04/2013	01/04/2015	10	10	10
Functional	085	Special Operations Unit - Covert Operations	0206 - ACC Crime/Intelligence	High	60	12	01/04/2013	01/04/2014	10	10	10
Functional	086	WITRPO - ROCU	0206 - ACC Crime/Intelligence	High	47	12	01/04/2013	01/04/2014	10	10	10
Functional	087	Firearms Unit	0405 - ACC Ops/Operations	High	59	12	01/04/2013	01/04/2014	5	5	5
Functional	088	Air Support Unit - NPAS	0405 - ACC Ops/Operations	High	48	12	01/04/2013	01/04/2014	5	0	5
Functional	089	Operation Support Unit	0405 - ACC Ops/Operations	High	60	12	01/04/2013	01/04/2014	0	5	0
Functional	090	Dogs Unit & Training	0405 - ACC Ops/Operations	High	60	12	01/04/2013	01/04/2014	5	0	5
Functional	091	Business Continuity	0405 - ACC Ops/Operations	High	80	12	01/04/2013	01/04/2014	5	5	5
Functional	092	Major Incident Response	0405 - ACC Ops/Operations	High	57	12	01/04/2013	01/04/2014	0	0	5
Functional	094	Events Management	0405 - ACC Ops/Operations	High	48	12	01/04/2013	01/04/2014	0	5	0
Functional	095	CTU Covert Accounts	0502 - ACC Protection/CTU	Med	37	24	01/04/2013	01/04/2015	5	5	5
Functional	096	Public Protection Unit	0207 - ACC Crime/Public Protection	High	48	12	01/04/2013	01/04/2014	5	5	5
Functional	097	Energy Conservation	0912 - DoR/Property Services	High	42	12	01/04/2013	01/04/2014	5	0	5
Functional	100	Building Maintenance	0912 - DoR/Property Services	High	67	12	01/04/2013	01/04/2014	5	5	5
Functional	101	Sale of Police House	0912 - DoR/Property Services	High	53	12	01/04/2013	01/04/2014	0	5	0
Functional	102	Estates Strategy Management	0912 - DoR/Property Services	High	49	12	01/04/2013	01/04/2014	10	5	5
Functional	103	Fuel Card Management	0915 - DoR/Transport	High	52	12	01/04/2013	01/04/2014	5	0	5
Functional	104	Fleet Management	0915 - DoR/Transport	High	57	12	01/04/2013	01/04/2014	0	10	0
Functional	105	Garage Stores	0915 - DoR/Transport	High	51	12	01/04/2013	01/04/2014	0	5	0
Functional	108	Management of Police Information	0605 - CIO/ICT	High	63	12	01/04/2013	01/04/2014	5	5	5
Functional	109	Mobile Devices	0605 - CIO/ICT	Med	39	24	01/04/2013	01/04/2015	0	5	0
Functional	110	Insurance	0905 - DoR/Finance & Procurement	Med	38	24	01/04/2013	01/04/2015	0	5	0
Functional	111	Spec Servs Income	0905 - DoR/Finance & Procurement	High	53	12	01/04/2013	01/04/2014	0	5	0
Functional	112	Inv - Asset Reg	0905 - DoR/Finance & Procurement	High	60	12	01/04/2013	01/04/2014	5	0	5
Functional	113	Payroll - Police, Staff and Pensions	0905 - DoR/Finance & Procurement	High	45	12	01/04/2013	01/04/2014	20	20	20
Functional	114	Bank Reconciliation	0905 - DoR/Finance & Procurement	High	54	12	01/04/2013	01/04/2014	5	5	5
Functional	115	General Ledger	0905 - DoR/Finance & Procurement	High	43	12	01/04/2013	01/04/2014	0	0	5
Functional	116	Creditors	0905 - DoR/Finance & Procurement	Med	35	24	01/04/2013	01/04/2015	10	10	10
Functional	117	VAT	0905 - DoR/Finance & Procurement	Med	40	24	01/04/2013	01/04/2015	0	10	0
Functional	118	Debtors	0905 - DoR/Finance & Procurement	High	46	12	01/04/2013	01/04/2014	5	5	5
Functional	120	Treasury Management	0905 - DoR/Finance & Procurement	Med	39	24	01/04/2013	01/04/2015	5	5	5
Functional	121	BACSTEL	0905 - DoR/Finance & Procurement	High	48	12	01/04/2013	01/04/2014	5	5	5
Functional	123	Banking Arrangements	0905 - DoR/Finance & Procurement	Med	32	24	01/04/2013	01/04/2015	0	5	0
Functional	125	Financial Planning	0905 - DoR/Finance & Procurement	Med	30	24	01/04/2013	01/04/2015	0	5	0
Functional	126	Capital Accounting	0905 - DoR/Finance & Procurement	Med	25	24	01/04/2013	01/04/2015	0	10	0
Functional	127	Overtime	0905 - DoR/Finance & Procurement	High	47	12	01/04/2013	01/04/2014	0	10	0
Functional	128	Authorised Signatories	0905 - DoR/Finance & Procurement	Med	25	24	01/04/2013	01/04/2015	0	5	0
Functional	129	Payroll Exception Report	0905 - DoR/Finance & Procurement	Med	32	24	01/04/2013	01/04/2015	0	0	10
Functional	130	Budgetary Controls	0905 - DoR/Finance & Procurement	High	42	12	01/04/2013	01/04/2014	0	5	0
Functional	131	External Funding (DIP) - Reporting Requirements	0905 - DoR/Finance & Procurement	High	44	12	01/04/2013	01/04/2014	5	5	5
Functional	133	Write Offs & Losses (Physical)	0905 - DoR/Finance & Procurement	Med	41	24	01/04/2013	01/04/2015	0	5	0
Functional	136	Seized Monies	0905 - DoR/Finance & Procurement	Med	36	24	01/04/2013	01/04/2015	0	5	0
Functional	137	Core Financial Systems	0905 - DoR/Finance & Procurement	Med	31	24	01/04/2013	01/04/2015	10	10	10
Functional	138	Specific Grant Funding	0905 - DoR/Finance & Procurement	High	45	12	01/04/2013	01/04/2014	0	5	0

13/05/2014

Type	Ref	Entity Name	Business Unit	Rating	Risk Score	Frequency	Last Activity	Next Activity	2014/15	2015/16	2016/17
Functional	139	Procurement	0905 - DoR/Finance & Procurement	Med	31	24	01/04/2013	01/04/2015	5	0	5
Functional	140	Training (Internal-External)	0908 - DoR/LDRC	High	51	12	01/04/2013	01/04/2014	5	5	5
Functional	141	Driving School	0910 - DoR/Personnel	High	44	12	01/04/2013	01/04/2014	5	0	5
Functional	142	Special Constabulary	0910 - DoR/Personnel	High	45	12	01/04/2013	01/04/2014	5	0	5
Functional	143	Recruitment	0910 - DoR/Personnel	Med	39	24	01/04/2013	01/04/2015	10	0	0
Functional	144	Attendance Management	0910 - DoR/Personnel	High	60	12	01/04/2013	01/04/2014	0	10	0
Functional	145	Establishment Control	0910 - DoR/Personnel	Med	35	24	01/04/2013	01/04/2015	10	10	10
Functional	146	Occupational Health	0910 - DoR/Personnel	High	50	12	01/04/2013	01/04/2014	5	0	0
Functional	147	Expenses & Allowances	0910 - DoR/Personnel	High	59	12	01/04/2013	01/04/2014	5	0	5
Functional	148	Overtime Policy	0910 - DoR/Personnel	High	59	12	01/04/2013	01/04/2014	5	0	5
Functional	149	Flex Time Review	0910 - DoR/Personnel	High	52	12	01/04/2013	01/04/2014	5	0	5
Functional	151	College of Policing	0910 - DoR/Personnel	High	49	12	01/04/2013	01/04/2014	10	10	10
Functional	152	Ethics & Hospitality	0910 - DoR/Personnel	High	45	12	01/04/2013	01/04/2014	0	5	0
Functional	154	Health & Safety	0910 - DoR/Personnel	Med	37	24	01/04/2013	01/04/2015	0	5	0
Functional	158	Public Order Training	0910 - DoR/Personnel	Med	31	24	01/04/2013	01/04/2015	0	5	0
Functional	159	Equality & Diversity	0910 - DoR/Personnel	High	49	12	01/04/2013	01/04/2014	0	5	0
Functional	160	Policy Development & Management	0910 - DoR/Personnel	Med	24	24	01/04/2013	01/04/2015	0	5	0
Functional	161	Welfare Services	0910 - DoR/Personnel	Med	39	24	01/04/2013	01/04/2015	0	5	0
Functional	163	Police Probationer Training	0910 - DoR/Personnel	High	51	12	01/04/2013	01/04/2014	5	0	5
Functional	164	Police Officers & Staff - Induction of New Recruits	0910 - DoR/Personnel	High	57	12	01/04/2013	01/04/2014	5	0	5
Functional	167	Workforce Planning	0910 - DoR/Personnel	Med	31	24	01/04/2013	01/04/2015	0	10	0
Functional	168	Shared Service Centre Quality & Compliance	0910 - DoR/Personnel	Low	15	36	01/04/2013	01/04/2016	10	10	10
Functional	169	Shared service Centre - Functional Review	0910 - DoR/Personnel	Low	21	36	01/04/2013	01/04/2016	15	15	15
Functional	170	Winsor Allowances	0910 - DoR/Personnel	Med	30	24	01/04/2013	01/04/2015	0	10	0
Functional	171	GRS Project	0913 - DoR/Shared Services	High	49	12	01/04/2013	01/04/2014	5	0	0
Functional	172	Witness Liaison	0201 - ACC Crime/CJS	Med	41	24	01/04/2013	01/04/2015	0	5	0
Functional	173	Traffic Process Office	0201 - ACC Crime/CJS	Med	25	24	01/04/2013	01/04/2015	0	5	0
Functional	174	Interpreters	0203 - ACC Crime/Crime Support	High	45	12	01/04/2013	01/04/2014	10	10	10
Functional	175	Holmes	0203 - ACC Crime/Crime Support	Med	41	24	01/04/2013	01/04/2015	0	5	0
Functional	176	Major Inv Team	0203 - ACC Crime/Crime Support	High	46	12	01/04/2013	01/04/2014	5	0	0
Functional	177	Force Surveillance Unit	0203 - ACC Crime/Crime Support	Med	31	24	01/04/2013	01/04/2015	10	0	0
Functional	182	Crime Investigation & Examination	0203 - ACC Crime/Crime Support	Med	29	24	01/04/2013	01/04/2015	0	5	0
Functional	183	Crime Reporting Information System	0203 - ACC Crime/Crime Support	High	45	12	01/04/2013	01/04/2014	5	0	5
Functional	184	Debrief Team	0203 - ACC Crime/Crime Support	Med	25	24	01/04/2013	01/04/2015	5	5	5
Functional	185	Force Negotiators	0203 - ACC Crime/Crime Support	High	47	12	01/04/2013	01/04/2014	5	0	0
Functional	186	Coroners	0203 - ACC Crime/Crime Support	Med	34	24	01/04/2013	01/04/2015	0	5	0
Functional	187	PNC	0203 - ACC Crime/Crime Support	High	48	12	01/04/2013	01/04/2014	0	0	5
Functional	194	Forensic Support	0205 - ACC Crime/Forensics	High	44	12	01/04/2013	01/04/2014	10	0	10
Functional	197	Detainees in Custody - Monies & Property	0403 - ACC Ops/Criminal Justice	High	58	12	01/04/2013	01/04/2014	0	10	0
Functional	198	Detainees Meals and Arrangements	0403 - ACC Ops/Criminal Justice	Med	30	24	01/04/2013	01/04/2015	0	5	0
Functional	201	Sex Offender Management - PPU	0207 - ACC Crime/Public Protection	High	65	12	01/04/2013	01/04/2014	10	15	15
Functional	202	Child-Adult Protection Unit	0207 - ACC Crime/Public Protection	High	47	12	01/04/2013	01/04/2014	5	5	5
Functional	203	Child protection Grants	0207 - ACC Crime/Public Protection	Med	40	24	01/04/2013	01/04/2015	0	5	0
Functional	204	Final Accounts	0914 - DoR/Traditional Final Account Audit	Low	21	36	01/04/2013	01/04/2016	5	5	5
Functional	211	Contracts & Procurement - Block Allocation	0901 - DoR/Contracts & Procurement	Med	30	24	01/04/2013	01/04/2015	10	10	10
Functional	214	Honorary Audits	0100 - CEX	Low	0	36		01/04/2014	15	15	15
<b>Functional</b>									<b>500</b>	<b>635</b>	<b>495</b>
ICT	039	Nat Fraud Initiative (AC)	0602 - CIO/Dev of Interrogations	High	43	12	01/04/2013	01/04/2014	20	20	20
ICT	050	ICT Risk Based Plan	0605 - CIO/ICT	Med	37	24	01/04/2013	01/04/2015	60	60	60

13/05/2014

Type	Ref	Entity Name	Business Unit	Rating	Risk Score	Frequency	Last Activity	Next Activity	2014/15	2015/16	2016/17
<b>ICT</b>									<b>80</b>	<b>80</b>	<b>80</b>
Investigations	213	Contingency	0100 - CEX	Low	0	36		01/04/2014	50	50	50
<b>Investigations</b>									<b>50</b>	<b>50</b>	<b>50</b>
LPU	024	LPU Prog Devt - Management Review	1002 - HoIA/Programme Development	Low	21	36	01/04/2013	01/04/2016	0	0	5
LPU	025	Birm East - Management Review	0301 - ACC Local Policing/Birmingham East LPU	High	67	12	01/04/2013	01/04/2014	5	5	5
LPU	026	Birm North - Management Review	0302 - ACC Local Policing/Birmingham North LPU	High	67	12	01/04/2013	01/04/2014	5	5	5
LPU	027	Birm West - Management Review	0304 - ACC Local Policing/Birmingham West LPU	High	62	12	01/04/2013	01/04/2014	5	5	5
LPU	028	Birm South - Management Review	0303 - ACC Local Policing/Birmingham South LPU	High	62	12	01/04/2013	01/04/2014	5	5	5
LPU	029	Walsall - Management Review	1103 - TBA/Walsall LPU	High	67	12	01/04/2013	01/04/2014	5	5	5
LPU	030	Sandwell - Management Review	0407 - ACC Ops/Sandwell LPU	High	67	12	01/04/2013	01/04/2014	5	5	5
LPU	031	Coventry - Management Review	0501 - ACC Protection/Coventry LPU	High	67	12	01/04/2013	01/04/2014	5	5	5
LPU	032	Dudley - Management Review	0404 - ACC Ops/Dudley LPU	High	67	12	01/04/2013	01/04/2014	5	5	5
LPU	033	Solihull - Management Review	0504 - ACC Protection/Solihull LPU	High	64	12	01/04/2013	01/04/2014	5	5	5
LPU	034	Wolverhampton - Management Review	1104 - TBA/Wolverhampton LPU	High	67	12	01/04/2013	01/04/2014	5	5	5
<b>LPU</b>									<b>50</b>	<b>50</b>	<b>55</b>
Management	215	Management - Development - Training	0100 - CEX	Low	0	36		01/04/2014	119	116	116
<b>Management</b>									<b>119</b>	<b>116</b>	<b>116</b>
VFM	212	Value for Money	0100 - CEX	Low	0	36		01/04/2014	50	50	50
<b>VFM</b>									<b>50</b>	<b>50</b>	<b>50</b>
<b>Grand Total</b>									<b>1300</b>	<b>1437</b>	<b>1297</b>