

WMPCC Risk Register - December 2023

Risks Relating to the Function's of the Police and Crime Commissioner

No	Risk	Mitigations (Key Controls / Activity)	Unmitigated Score	Score March 2023	Score June 2023	Score Sept 2023	Present Score	Direction	Owner
1	Arrangements in place do not secure an efficient and effective police force for the West Midlands	Governance arrangements between PCC and WMP Financial reporting to Strategic Policing and Crime Board (SPCB) Performance reporting to SPCB and PCC / CC meetings Deep dives into areas of concern in relation to performance Budget setting process VfM profile scrutiny Force Priority Based Budgeting (PBB) reviews Annual Governance Statements Internal Audit function	20	16	12	12	12	↔	JJ
2	The PCC does not hold West Midlands Police to account effectively	Construction and monitoring of the delivery plan for Police and Crime Plan Reports to SPCB based on agreed workplan holding WMP to account Performance reporting to SPCB and other governance forums Deep dives into areas of concern in relation to performance OPCC representation in WMP governance structures	25	12	8	8	8	↔	JJ
3	The priorities and actions of the Police and Crime Plan are not delivered	Delivery plan produced, details actions, budget requirement, responsibilities, timeframes RAG status. Performance reporting to SPCB and PCC / CC Commissioning strategy and processes in the OPCC OPCC workforce planning through HR governance Work planning with the OPCC through team and individual plans Regular review of delivery plan by senior management team in the OPCC	25	12	12	12	12	↔	JJ
4	The resources of the PCC are not sufficient to deliver the police and crime plan and for West Midlands Police to operate	Medium Term Financial Plan (MTFP) in place that includes assumptions around costs and funding. MTFP planned revised in July 2022 Budget setting process responsive to developments in police funding and finance Regular Budget Monitoring Operation of effective Internal Audit Function Extra police officers being recruited (as part of the national 20,000) Active participation in the debates in relation to funding policing in the West Midlands Campaigns in relation to static levels of specific grants. Violence Reduction Partnership and Grip funding Multi year settlements from Central Government will assist with resource planning Priority Based Budgeting (PBB) Reviews Consideration given to bidding for extra resources from Government OPCC Human Resources Governance Group Police and Crime Plan translated into individual team and staff members work programmes Business continuity plans for the OPCC	20	20	25	16	16	↔	JH

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5	West Midlands Police does not contribute to national and international policing capabilities	Annual report on strategic policing requirements compliance Counter Terrorism (CT) strategic board Support from the central region member of the National Police Air Service (NPAS) strategic board, Participate in the National Ballistics Intelligence Service (NBIS) board Regional governance group that covers Counter Terrorism Serious Organised Crime (SOC) arrangements Emergency Services Mobile Communications Programme (ESMCP) Strategic roads governance	20	12	8	8	8	↔	JJ
6	Lack of effective partnerships	Partnership structures & engagement in place. Partnerships have appropriate, terms of reference, membership and workplans. Partnerships include:- West Midlands Community Safety Partnership and associated structures Local Criminal Justice Board and associated structures Business crime partnership Police collaboration structures Engagement with WMCA Victims Commission Violence Reduction Partnership Working with the Probation Service	25	12	12	16	16	↔	AF
7	Update Dec 23 - Separate project risk register has been established to manage this risk: Failure to make appropriate arrangements for an effective transfer of the Police and Crime Commissioner functions to the Combined Authority Mayor for the West Midlands which causes a lack of business continuity	Project underway to determine how business continuity of statutory duties can be maintained from day one of transition Staff engaged and identifying how staff can be supported throughout the process OPCC/WMCA/WMP senior management engagement continues Project lead (Chief Superintendent) allocated from WMP Visits have taken place to other Force areas who have, or are in the process of, transferring functions to learn from others	20	N/A	N/A	N/A	N/A	↔	JJ

05-Dec-23

Owner of Risks

JJ - Jonathan Jardine

AF - Alethea Fuller

JH - Jane Heppel